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# Efficacy of 635nm Red Low-Level Laser on Nociceptive Musculoskeletal Pain Compared to NSAIDS, Opioids, and Other Light Sources



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#### Abstract

**Background:** According to the World Health Organization, musculoskeletal conditions comprise more than 150 diagnoses affecting muscles, bones, joints and related tissues including tendons and ligaments. The use of 635nm red low-level laser has demonstrated beneficial effects for treating a range of painful musculoskeletal conditions including low back pain, plantar fasciitis, neck, and shoulder pain.

**Methods:** Data is compiled from six IRB-approved clinical trials which assessed the efficacy of 635 nm lasers for reducing pain arising from chronic conditions. These included five randomized, placebo-controlled studies and one randomized comparative study.

**Results:** Among subjects in the active treatment group, there was a 45.4% mean decrease in VAS scores compared to a 15.1% mean decrease among sham-treated subjects (p<0.0001). The active treatment group also documented significant improvement in range of motion, oswestry disability index, and foot function index.

**Conclusion:** Based on the data the Food and Drug Administration (FDA) cleared the first low-level laser for the indication as adjunctive use in providing temporary relief of nociceptive musculoskeletal pain.

Keywords: Low-level laser therapy; Musculoskeletal pain; Low back pain; Chronic pain; Clinical trial; Illt

## Introduction

According to the World Health Organization, musculoskeletal conditions comprise more than 150 diagnoses affecting muscles, bones, joints and related tissues including tendons and ligaments. <sup>1</sup> The most common and disabling conditions are osteoarthritis, back and neck pain, fractures associated with bone fragility, injuries, and systemic inflammatory conditions such as rheumatoid arthritis [1]. It has been estimated that 107.5 million people experience musculoskeletal disease annually in the United States [2]. As the prevalence of musculoskeletal conditions is substantially higher among the elderly, the overall prevalence is

likely to increase due to this growing population. The aggregate costs among persons with musculoskeletal diseases including direct healthcare costs and lost wages was estimated to be \$980 billion annually during 2012-2014 [2]. According to the Medical Expenditure Panel Survey, the annual cost of pain management was greater than the annual costs of heart disease, cancer, and diabetes [3]. Low back pain is one of the most prevalent musculoskeletal conditions requiring treatment and among the most common conditions presenting to primary care physicians [4]. According to one systematic review, the 1-year prevalence of

low back pain ranged from 22 to 65%, and lifetime prevalence ranged from 11 to 84% [5].

Traditional pharmacotherapy for managing low back pain has consisted of nonsteroidal anti-inflammatory drugs (NSAIDs) which inhibit cyclo-oxygenase (Cox), thereby preventing the formation of inflammatory prostaglandins [4]. Overall, NSAIDs are superior to placebo for treating chronic low back pain with no significant difference in pain reduction between Cox-2 selective and traditional NSAID [6]. Unfortunately, their use can be limited by gastrointestinal and cardiac toxicity [7,8], although newer Cox-2 selective inhibitors (celecoxib, rofecoxib) are safer than traditional NSAIDs (naproxen, ibuprofen) [4]. A promising alternative to pharmacotherapy is the use of low-level laser therapy (LLLT). In June 2019, The Food and Drug Administration (FDA) cleared the first low-level laser (Erchonia® FX-635™) for the indication as adjunctive use in providing temporary relief of nociceptive musculoskeletal pain (K190572). The 510(k) clearance was based on numerous completed studies that demonstrated effectiveness for treating various anatomical areas affected by chronic musculoskeletal pain. The objective of the following review is to analyze data from six studies totaling 419 subjects assessing immediate efficacy, long-term durability, and functional index improvement using red 635 nm (Erchonia Corp.) nonthermal laser devices for the treatment of chronic musculoskeletal conditions.

Methods

Data is compiled from six IRB-approved clinical trials which assessed the efficacy of 635 nm lasers for reducing pain arising from chronic painful conditions. These included five randomized, placebo-controlled studies and one randomized comparative study [9-12]. Several of these studies have been previously published [13-16]. The devices utilized 635 nm red laser diodes ranging from 5 – 17.5mw(Erchonia Corporation, Melbourne, FL). The variable hertz feature of each device is a pulsed wave, defined as containing a preprogrammed series of breaks. The devices internal mechanics collect light emitted from each laser diode which is processed through a proprietary patented lens to produce a line generated beam. The total energy delivered was between 4 - 10.5 joules to the treatment area. The sham devices applied light-emitting diodes (LED) light of the same color when activated.

The assessed treatment areas included chronic neck and shoulder pain, low back pain and heel pain caused by plantar fasciitis. Each condition has previously received a respective FDA indication based on submitted clinical data (K012580, K132940, K180197). Adult male and female subjects were enrolled in each study. The total study sample (N=419) included subjects that received active treatment (n=234) and sham treatment (n=185) as indicated in Table 1.

#### Table 1: Sample Size Across Trials.

	Neck & Shoulder #1	Neck & Shoulder #2	Neck & Shoulder #3	Plantar Fasciitis	Low Back #1	Low Back #2
Active	50	43	44	37	31	29
Sham	50	43	-	32	31	29
Total	100	86	44	69	62	58

For each clinical trial, pain severity was assessed by subjects using a 0-100 Visual Analog Scale (VAS). The VAS is widely used across a broad range of populations and clinical settings and has been well-accepted as a generic pain measure for many years [17]. The following analysis is based on the change in mean pretreatment subject VAS scores at study endpoint. The relevance of endpoint evaluation was consistent across all trials. The individual subject treatment success criterion in each trial was preestablished, as stipulated by the Food and Drug Administration, as a  $\geq$ 35% decreased VAS pain scores between treatment and sham group.

Five trials recorded post-treatment VAS pain scores from 24 hours to 12 months. As the timing of post-treatment pain evaluations was inconsistent, longitudinal VAS pain scores are reported for two time periods that enabled combining data from two or more studies for evaluation. These time points were 2 to 4 weeks and 2 to 4 months post-treatment. Subject satisfaction with pain relief was evaluated by subjects using a 5-point Likert scale (Table 2).

Table 2: Study Outcome Satisfaction Rating at Study Endpoint.

	Active Group (n=109)	Sham Group (n=61)		
	n (%)	n (%)		
Very Satisfied	37 (34)	13 (21)		
Somewhat Satisfied	40 (37)	13 (21)		
Neither Satisfied nor Dissatisfied	23 (21)	21 (35)		
Not Very Satisfied	6 (5)	9 (15)		
Not at All Satisfied	3 (3)	5 (8)		

Functional assessments were recorded in each trial. Linear range of motion (ROM) assessments of bilateral mobility in the neck and shoulder region were measured in degrees using a universal inclinometer. The Oswestry Disability Index (ODI) is a measure derived from the Oswestry Low Back Pain Questionnaire used by clinicians and researchers to quantify disability for acute or chronic low back pain. The ODI is currently considered the gold standard of low back functional outcome tools for measuring degree of disability and estimating quality of life in patients with low back pain [18]. The ODI is expressed as a percentage of the maximum score. Summary scores are interpreted as 0 to 20 (minimal disability), 21-40 (moderate disability), 41-60 (severe disability), 61-80 (crippling back pain), 81-100 (bed-ridden or exaggerated symptoms). Higher ODI % total index scores are associated with greater disability and a 10% change is considered to be a clinically meaningful change in disability.

The Foot Function Index (FFI) is a self-administered questionnaire that measures the effect of foot pain on individual functioning in everyday life. The FFI questionnaire was completed at baseline, end of study treatment (Week 3) and study endpoint (2 weeks post-treatment). Scores are summed across all three subscales to produce a Total FFI score. A decreased score indicates reduced impact of heel pain on patient daily life.

## **Statistical Analysis**

A t-test for two independent samples was used to evaluate the difference in mean changes in baseline VAS pain scores for subjects in the active and sham treatment groups. A Fischer's Exact Test for two independent proportions was used to compare the difference in individual treatment successes between treatment groups. Change in Oswestry Disability Index (ODI) % total score index scores were evaluated using analysis of covariance, with change from baseline to endpoint in ODI % total index score as the dependent variable, baseline ODI % total score index as the covariate and treatment group as a main effect. A one-way analysis of variance for four correlated samples was used to assess changes in mean total Foot Function Index (FFI) scores, and a subsequent Tukey HSD test was used to assess changes in mean Total FFI scores between various assessment points.

## Results

### **Pain Analysis**

Among subjects in the active treatment group, there was a 45.4% mean decrease in VAS scores compared to a 15.1% mean decrease among sham-treated subjects (p<0.0001). These results are summarized in Figure 1. The 27.5-point mean decrease in VAS scores among subjects treated with active treatment devices was >3-fold greater than the 8. 7-point mean decrease in VAS scores among sham-treated subjects.





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## **Proportion of Treatment Success**

Among subjects receiving active treatment, (69%) achieved individual treatment success while among subjects receiving sham treatment (27%) individual treatment success was achieved by subjects (p<0.0001). This 42% difference exceeded the required 35% difference in individual success criteria between treatment groups.

## Data

Figures 2 & 3 shows the mean change in baseline VAS pain scores 2 to 4 weeks and 2 to 4 months post-treatment for active-

and sham-treated groups. Among subjects in the active treatment group, the mean VAS pain scores decreased an additional 19% after 2 to 4 weeks following the last treatment while mean scores in the placebo group remained unchanged. Similarly, the mean VAS pain scores decreased an additional 33% after 2 to 4 months following the last treatment of the Erchonia laser while mean scores in the placebo group had the opposite effect increasing 16%. Two studies followed the active group 12 months from the last treatment, in which these subjects mean VAS pain scores decreased additional 56% and sustained improvement in disability indexes Figure 4.





## **Treatment Outcome Satisfaction**

Overall, 71% of subjects that received active treatment were satisfied with their treatment outcome. In contrast, only 42% of sham-treated subjects were satisfied. The proportion of subjects dissatisfied with active and sham treatment outcomes were 8% and 23%, respectively.

#### Functionality Assessments - Range of Motion

Linear ROM assessments of bilateral mobility in the neck and shoulder region are summarized in Figure 5. Overall, there was a >20% improvement in baseline ROM.



# Functionality Assessments - ODI Change Scores

The mean change in baseline ODI % total index scores among subjects receiving active treatment (-12.3) was substantially greater than the change for placebo-treated subjects (-5.2) and were indicative of clinically meaningful improvement in disability. The overall change in ODI decreased from moderate to minimal disability. The change in mean ODI % total index scores from baseline to post-procedure Week 8 is shown in Figure 6.



## **Foot Function Index**

The change in mean total FFI scores are shown graphically in Figure 7. There was a continued 65% improvement in total FFI Score from study endpoint to 12 months post-treatment (p<0.0001). A Tukey HSD test revealed significant changes in mean total FFI scores at various time points:

- Baseline and Endpoint (p<0.05)
- Baseline and 6 Months Post-Treatment (p<0.01)
- Baseline and 12 Months Post-Treatment (p<0.01)
- Endpoint and 6 Months Post-Treatment (p<0.01)

# • Endpoint and 12 Months Post-Treatment (p<0.01)

# Safety

There were no reports of adverse events for any study subject during the six clinical trials described in this study.

# Discussion

The therapeutic effect of LLLT occurs through the process of photochemistry. The first law of photochemistry, known as the Grotthuss–Draper law, states that light must be absorbed by a chemical substance in order for a photochemical reaction to take place. This chemical change can also be defined as Laser Pharmacology<sup>™</sup> which describes the discipline in which a series of interactions caused by Erchonia laser photons produce a change in physiology, through similar if not the same biological pathways of pharmaceutical drugs. The mechanism of action is completely nonthermal. The effects of laser light on biological signaling systems does not require deep penetration, as the cell membrane appears to be the primary absorber of the energy which then generates intracellular effects by means of a second messenger /

cascade type response [19]. The Laser Pharmacology<sup>™</sup> effect of the Erchonia 635nm red laser, begins when a suitable molecule called a chromophore absorbs photons of an appropriate wavelength. The best-studied chromophore is cytochrome C oxidase (CCO) which contains two copper- and two heme-containing groups. CCO is found in the mitochondria where it can be stimulated by red laser light [20].



This is significant because mitochondria are involved in a wide range of metabolic activity including regulating cell membrane potential, apoptosis, cell proliferation, heme and steroid synthesis [21]. Mitochondria are also intimately involved in signaling cascades between the mitochondrial reticulum and the rest of the cell, such as calcium signaling, GTPases, kinases and phosphatases [21]. Secondary mediators of photochemistry include reactive oxygen species (ROS), nitric oxide and cAMP which can also activate transcription factors and signaling pathways [20].

Irradiation of fibroblasts with 628 nm red light has been shown to produce the same biological effects as many NSAIDS, which include reduction prostaglandin E2, cyclooxygenase-2 and tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) [22]. In addition, exposure to 628 nm red light increases expression of genes known to be involved in numerous cell functions including cell proliferation, antioxidation, metabolism, ion channel and membrane potential, cytoskeleton and extracellular matrix proteins, DNA synthesis and repair, transcription factors, immunity, inflammation and cytokines [22]. Inhibition of cyclooxygenase (Cox) and prostaglandin E<sub>2</sub> (PGE<sub>2</sub>) protects cells against injury from inflammation and oxidative stress. An investigation studied the effect of Red 660nm LLLT on both COX-2 and COX-1 messenger RNA (mRNA) expression. Following exposure to LLLT there was a reduction in COX-2 mRNA expression both in the subplantar (~2.5-fold) and brain (4.84-9.67-fold) tissues. It is possible that the mechanism of LLLT

decreasing hyperalgesia is also related to its effect in reducing the COX-2 expression in the CNS [23].

The beneficial effects of LLLT on TNF- $\alpha$  and transforming growth factor-beta (TGF- $\beta$ ) expression during the repair process has also been demonstrated in an animal model [24]. Rats were randomized into cryoinjury, cryoinjury treated with LLLT and uninjured control groups. LLLT consisted of three-weekly 10-second sessions with a 660 nm laser. LLLT decreased TNF- $\alpha$ mRNA expression 1- and 7-days following injury and TGF- $\beta$  mRNA expression 7 days following injury comparison to the untreated group. Thus, while both NSAIDS and LLLT reduce TNF- $\alpha$  and COX-2, they do so by different means which may account for the superior safety profile of LLLT.

Another animal study assessed the impact of LLLT at visible wavelengths of 635, 532, and 405 nm on renal fibrosis in mice and their beneficial effects on mesenchymal stem cells (MSCs) [25]. All three wavelengths significantly synergized with MSC to enhance mitochondrial activity and reduce apoptosis 24 hours after exposure; however, each wavelength demonstrated different effects: combined treatment with the 532 nm laser and MSC increased proliferative activity in the renal cortex, endothelial proliferation increased following exposure to the 635 nm laser alone and 405 nm laser combined with MSC, and transforming growth factor-b (*TGF*- $\beta$ ) was reduced following exposure to 532 nm alone and when combined with MSC [25].

Other differences between NSAIDs and LLLT involve ROS. NSAIDs have been shown to induce ROS in different tissue cells, resulting in increased oxidized proteins which alter important intracellular signaling pathways which can lead to apoptosis and cell death [26]. LLLT also increases ROS generation which can induce transcriptional changes, and specifically activate the production of NF- $\kappa$ B which regulates the beneficial immune response to inflammation [27]. Interestingly it is noted LLLT demonstrates a biphasic, drug-like dose response where low light levels have a positive effect on stimulating tissue repair while higher levels have a negative effect [28].

For LLLT to be effective, there are delivery fundamentals that must be met when applying the treatment. One basics is the distinct difference between lasers and the light generated by light-emitting diode (LED) devices. These are due to the different mechanisms by which light is produced by these devices [29]. The main property of laser light is its monochromatic nature, having only one wavelength. Light from LEDs cannot achieve the same narrow band of lasers and are referred to as quasimonochromatic. Laser devices generate coherent light, meaning the light is organized with the same frequency and the same waveform and is described as being "in phase." Highly coherent light rays can travel long distances without losing coherence. The light produced by a LED is disorganized or incoherent [30,31]. Laser light is collimated, meaning light rays are parallel and travel without divergence, or spreading. This is not true for LED light. These properties determine the effect of LLLT on biological systems which cannot be achieved by other light sources. Therefore, light from a 635nm laser is dramatically more effective for producing photochemical effects than a 635nm LED which is evident by use of a 635nm LED as a sham control device in the referenced studies.

Additionally, it is crucial to distinguish the output power of the laser. To classify as Low-Level Laser therapy, the device output must be less than 500mw [32]. Devices with a laser output above 500mw, are not LLLT instead classified as High intensity Laser (HIL) or Class 4 laser. These devices are FDA cleared under the ILY product code, Lamp, Infrared, Therapeutic heating [33]. The ILY category started as a heating pad and has expanded to thermal lasers, which are intended to "provide topical heating for the purpose of elevating tissue temperature for temporary relief of muscle and joint pain and stiffness, arthritis pain, or muscle spasm, the temporary increase in local blood circulation and/ or promoting relaxation of muscle". FDA has now made the ILY products 510(k) exempt based on the device requirements of raising skin temperature to 40-45°C. The raise in temperature creates a concern as most proteins, DNA, RNA, membranes and their integral structures start to unwind or melt at temperatures ranging from 40-100°C, the result is denaturation or loss of function<sup>43</sup>. The first mechanism by which tissue is thermally affected can be attributed to conformational changes of molecules. These effects, accompanied by bond destruction and membrane

alterations are summarized in the single term hyperthermia ranging from approximately 42-50°C. If such a hyperthermia lasts for several minutes, a significant percentage of the tissue will already undergo necrosis [34]. LLLT is a non-thermal process and classified under FDA product code NHN, powered light-based laser non-thermal instrument with non-heating effect for adjunctive use in pain therapy [35]. Blinded and controlled trials are required for new 510(k) indications.

The laser wavelength determines the quality or type of interaction between the laser and the tissue. The lasers used in the referenced studies were 635nm which is in the visible light spectrum. Another type of electromagnetic radiation is infrared (IR) which includes wavelengths higher than 780nm to invisible range (1000 µm) [36]. While visible light can produce photochemical effects, infrared only produces molecular rotations and vibrations [21]. A systematic review was conducted to summarize the effects of Photo biomodulation on pain and disability in people with nonspecific low back pain, when: compared with control conditions (such as minimal intervention, placebo and no treatment) [37]. The systematic review included results from 12 trials, all of which applied laser with wavelengths in the Infrared spectrum, ranging from 808-1064nm. The results showed that there was low-quality evidence that the laser treatment was not better than sham for acute/subacute or chronic LBP in the short term. The authors concluded "current evidence does not support the use of PBMT to decrease pain and disability in people with non-specific LBP" [37]. A possible explanation is in biological tissue, either water molecules or macromolecules such as proteins and pigments mainly cause absorption. The absorption of infrared light can be attributed to water molecules, whereas UV and visible light absorbs by proteins and pigments [34]. Photochemical interaction mechanisms take place at very low power densities (typically 1 W cm) and long exposure times ranging from seconds to CW lasers. In most cases, wavelengths in the visible range are used because of their high optical penetration depths [34].

Although NSAIDs are widely prescribed for treating musculoskeletal conditions, drug toxicity is a common problem. It may be especially troublesome among the 40% of people  $\geq$ 65 years old who are prescribed NSAIDs annually [38]. At one time, it was estimated more than 100,000 patients were hospitalized annually for NSAID-related GI complications alone with significant mortality and a medical cost exceeding \$4 billion. 42 Other drug therapies for low back pain include benzodiazepines, antidepressants, opioids, skeletal muscle relaxants and systemic corticosteroids; however, the overall effects on pain reduction and improvement of function are generally small to moderate and of short-duration [39,40].

The use of opioids is not superior to nonopioid medications for moderate to severe chronic back pain, or hip and knee osteoarthritis pain and does not improve pain-related function or patient quality of life [41]; nevertheless, the widespread use of opioids for treating pain has resulted in the current opioid epidemic. Fortunately, the numbers of prescriptions for opioid medications appears to be decreasing [42]. Based on the safety and efficacy of this review evaluating four hundred and nineteen (419) subjects and various anatomical areas, 635nm laser therapy with appropriate power and dose should be consider the first line of treatment for individuals suffering from nociceptive musculoskeletal pain.

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